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TO/61/II
JCS 996 U.S. PTO

UTILITY PATENT APPLICATION TRANSMITTAL

(Small Entity)

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No.
U11-032

Total Pages in this Submission

17

TO THE ASSISTANT COMMISSIONER FOR PATENTSBox Patent Application
Washington, D.C. 20231

Transmitted herewith for filing under 35 U.S.C. 111(a) and 37 C.F.R. 1.53(b) is a new utility patent application for an invention entitled:

Rapidly Changing Dichroic Beamsplitter

and invented by:

Jeffrey A. Stuckey

jc857 U.S. PTO
09/988880
11/19/01If a **CONTINUATION APPLICATION**, check appropriate box and supply the requisite information:

Continuation Divisional Continuation-in-part (CIP) of prior application No.: PCT/US01/ 42957

Which is a:

Continuation Divisional Continuation-in-part (CIP) of prior application No.: _____

Which is a:

Continuation Divisional Continuation-in-part (CIP) of prior application No.: _____

Enclosed are:

Application Elements

1. Filing fee as calculated and transmitted as described below
2. Specification having 7 pages and including the following:
 - a. Descriptive Title of the Invention
 - b. Cross References to Related Applications (*if applicable*)
 - c. Statement Regarding Federally-sponsored Research/Development (*if applicable*)
 - d. Reference to Microfiche Appendix (*if applicable*)
 - e. Background of the Invention
 - f. Brief Summary of the Invention
 - g. Brief Description of the Drawings (*if drawings filed*)
 - h. Detailed Description
 - i. Claim(s) as Classified Below
 - j. Abstract of the Disclosure

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Application Elements (Continued)

3. Drawing(s) *(when necessary as prescribed by 35 USC 113)*
a. Formal b. Informal Number of Sheets 4

4. Oath or Declaration
a. Newly executed *(original or copy)* Unexecuted
b. Copy from a prior application (37 CFR 1.63(d)) *(for continuation/divisional application only)*
c. With Power of Attorney Without Power of Attorney
d. **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application,
see 37 C.F.R. 1.63(d)(2) and 1.33(b).

5. Incorporation By Reference *(usable if Box 4b is checked)*
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied
under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby
incorporated by reference therein.

6. Computer Program in Microfiche

7. Genetic Sequence Submission *(if applicable, all must be included)*
a. Paper Copy
b. Computer Readable Copy
c. Statement Verifying Identical Paper and Computer Readable Copy

Accompanying Application Parts

8. Assignment Papers *(cover sheet & documents)*

9. 37 CFR 3.73(b) Statement *(when there is an assignee)*

10. English Translation Document *(if applicable)*

11. Information Disclosure Statement/PTO-1449 Copies of IDS Citations

12. Preliminary Amendment

13. Acknowledgment postcard

14. Certificate of Mailing
 First Class Express Mail *(Specify Label No.):* EL 453 888 385 US

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Accompanying Application Parts (Continued)

15. Certified Copy of Priority Document(s) (*if foreign priority is claimed*) Applicant claims Small Entity Status under

16. Small Entity Statement(s) - ~~Entity Number of Statements Submitted~~ 37 C.F.R. 1.27

17. Additional Enclosures (*please identify below*):

Request That Application Not Be Published Pursuant To 35 U.S.C. 122(b)(2)

18. Pursuant to 35 U.S.C. 122(b)(2), Applicant hereby requests that this patent application not be published pursuant to 35 U.S.C. 122(b)(1). Applicant hereby certifies that the invention disclosed in this application has not and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication of applications 18 months after filing of the application.

Warning

An applicant who makes a request not to publish, but who subsequently files in a foreign country or under a multilateral international agreement specified in 35 U.S.C. 122(b)(2)(B)(i), must notify the Director of such filing not later than 45 days after the date of the filing of such foreign or international application. A failure of the applicant to provide such notice within the prescribed period shall result in the application being regarded as abandoned, unless it is shown to the satisfaction of the Director that the delay in submitting the notice was unintentional.

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(Small Entity)

(Only for new nonprovisional applications under 37 CFR 1.53(b))

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Fee Calculation and Transmittal

CLAIMS AS FILED

For	#Filed	#Allowed	#Extra	Rate	Fee
Total Claims	1	- 20 =	0	x \$9.00	\$0.00
Indep. Claims	0	- 3 =	0	x \$42.00	\$0.00
Multiple Dependent Claims (check if applicable)					\$0.00
				BASIC FEE	\$370.00
OTHER FEE (specify purpose)					\$0.00
				TOTAL FILING FEE	\$370.00

A check in the amount of _____ to cover the filing fee is enclosed.

The Commissioner is hereby authorized to charge and credit Deposit Account No. _____ as described below. A duplicate copy of this sheet is enclosed.

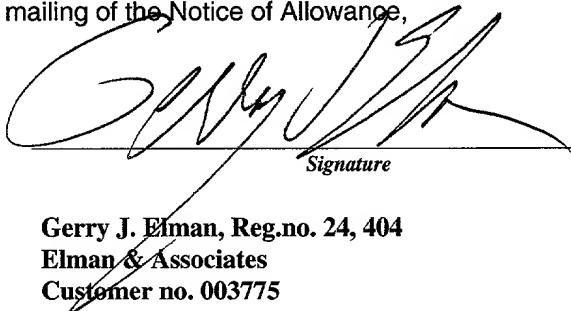
Charge the amount of _____ as filing fee.

Credit any overpayment.

Charge any additional filing fees required under 37 C.F.R. 1.16 and 1.17.

Charge the issue fee set in 37 C.F.R. 1.18 at the mailing of the Notice of Allowance, pursuant to 37 C.F.R. 1.311(b).

Dated: November 19, 2001



Signature

Gerry J. Elman, Reg.no. 24, 404
Elman & Associates
Customer no. 003775

CC:

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)

Applicant(s): Jeffrey A. STUCKEY

Docket No.

U11-025

Serial No.

NA

Filing Date

November 19, 2001

Examiner

NA

Group Art Unit

NA

Invention: **Rapidly Changing Dichroic Beamsplitter**

I hereby certify that the following correspondence:

Express Mail Certificate, 1 sheet; Specification (including claims and abstract) 7 sheets; Drawings, 4 sheets, ADS, 2 sheets; Patent Application Transmittal Letter, 4 sheets; acknowledgment postcard*(Identify type of correspondence)*

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under

*2900 Crystal Blvd*37 CFR 1.10 in an envelope addressed to: The Assistant Commissioner for Patents, ~~Washington, D.C. 20231~~*Arlington VA 22202-3513*November 19, 2001*(Date)***Gerry J. Elman***(Typed or Printed Name of Person Mailing Correspondence)**(Signature of Person Mailing Correspondence)***EL 453 888 385 US***'Express Mail' Mailing Label Number*

Note: Each paper must have its own certificate of mailing.